

## Audit, Governance and Standards Committee

MINUTES of the OPEN section of the Audit, Governance and Standards Committee held on Tuesday 9 May 2017 at 7.00 pm at Ground Floor Meeting Room G01A - 160 Tooley Street, London SE1 2QH

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**PRESENT:** Councillor Paul Fleming (Chair)  
Councillor Catherine Dale  
Councillor Renata Hamvas  
Councillor David Hubber (Reserve)  
Councillor Andy Simmons  
Councillor Cleo Soanes (Reserve)

Mr Charles Wynn-Evans (Independent Member)

**OFFICER SUPPORT:** Jennifer Seeley, Director of Finance  
Jo Anson, Head of Financial and Information Governance  
Norman Coombe, Head of Corporate Team (Legal)  
Kevin Campbell-Scott, Fraud Manager  
Angela Mason-Bell, BDO Public Sector Internal Audit Manager  
James Thirgood, Grant Thornton (External Audit)  
Victoria Foreman, Constitutional Officer

### 1. APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors James Barber, Nick Dolezal and Hamish McCallum. Councillor David Hubber was in attendance as a reserve on behalf of Councillor James Barber, and Councillor Cleo Soanes on behalf of Councillor Nick Dolezal.

Apologies were also received from Mr William Dee, independent member.

### 2. CONFIRMATION OF VOTING MEMBERS

Those members listed as present were confirmed as voting members for the meeting.

**3. NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT**

There were no late items of business.

**4. DISCLOSURE OF INTERESTS AND DISPENSATIONS**

There were no declarations of interest or dispensations.

**5. MINUTES**

**RESOLVED:**

That the minutes of the meeting held on 27 February 2017 were confirmed as a correct record and signed by the chair.

**6. REVIEW OF CODE OF CORPORATE GOVERNANCE**

Officers introduced the report. Members asked questions of the officers.

**RESOLVED:**

1. That the revised code of corporate governance, as attached at Appendix 1 to the report, be adopted.
2. That the council's governance arrangements as reviewed against the CIPFA/SOLACE 2016 framework for good governance, as set out in Appendix 2 to the report, be considered.
3. That further information regarding methods of identification of issues for examination by the committee be included in the council's code of corporate governance.

**7. INTERNAL AUDIT AND ANTI-FRAUD PROGRESS REPORT**

Officers introduced the report. Members asked questions of the officers.

**RESOLVED:**

That the progress report on internal audit and anti-fraud, covering the year from 1 April 2016 to 31 March 2017 be noted.

**8. GRANT THORNTON PLANNED AUDIT FEE FOR 2017-18**

Officers introduced the report.

**RESOLVED:**

That Grant Thornton's fees for the audit of the council and the pension fund be noted.

**9. GRANT THORNTON PROGRESS REPORT AND UPDATE FOR YEAR ENDED 31 MARCH 2017**

Officers introduced the report.

**RESOLVED:**

That Grant Thornton's progress report and update for year ended 31 March 2017, as attached to the report at Appendix A, be noted.

**10. REVISED WORK PROGRAMME FOR 2017-18**

Officers introduced the report. Members asked questions of the officers.

**RESOLVED:**

1. That the proposed revised work programme for 2017-18, including planned coverage of governance topics for the year as set out below, be noted:
  - 2016-17 outturn and budget recovery board – July 2017
  - Equalities impacts on the council – September 2017
  - Human Resources update - November 2017
  - Information Technology update - February 2018.
2. That the work programme for 2017-18 be agreed subject to scheduling of the item 'Informing the audit risk assessment for the council (including pension fund)' being permanently amended from May to February each year.

The meeting ended at 7.34 pm.

**CHAIR:**

**DATED:**